

Arizona Department of Insurance

Orders Filed: 1/1/2016 - 5/25/2016

| <u>Docket Number</u> | <u>Type of Action</u> | <u>Party Name</u> | <u>Dates:</u> | <u>Fines:</u> | |
|--|-------------------------------------|-------------------|---|---------------------------------|---|
| <u>Matter Name</u> | <u>Settled by</u> <u>Consent</u> | <u>Source</u> | <u>License #</u> / <u>NAIC #</u> | <u>Order</u> <u>SS or CD</u> | <u>Civil Penalty</u> <u>Restitution</u> <u>Ordered*</u> |
| 15A-198-INS Baso, Kristian aka Kristian Basolamas | Consent Order | | Kristian Baso 996680 / | 1/4/2016 | \$500.00 \$0.00 Civil penalty of \$500. |
| | Yes | Licensing | | | |
| 15A-199-INS Salomon, Diana P | Consent Order | | Diana P. Salomon 1091485 / | 1/4/2016 | \$0.00 \$0.00 License revoked, effective immediately. |
| | Yes | Licensing | | | |
| 15A-129-INS Bullerman, Katherine Kristine | Revocation (Contested Case) | | Katherine Kristine Bullerman 1098093 / | 1/7/2016 | \$0.00 \$0.00 May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 16A-002-INS United Dental Care of Arizona, Inc. (NAIC 47708) by Sun Life Financial Inc. et al | Acquisition | | Sun Life Financial, Inc. / | 1/7/2016 | \$0.00 \$0.00 Acquisition approved subject to condition. All materials are confidential. Advise of effective date. Upon consummation, file registration statement. Failure to comply results in admin action. |
| | No | FAD | | | |
| 15A-137-INS Gluzinski, Christine D. | Revocation (Contested Case) | | Christine D. Gluzinski 1101452 / | 1/15/2016 | \$250.00 \$0.00 Immediately submit fingerprints & Illegible form. Civil penalty of \$250. Hearing is vacated. |
| | Yes | Licensing | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

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| 15A-150-INS Miklich, Mark Allen | Revocation (Contested Case) | Mark Allen Miklich 1083380 / | 1/20/2016 | \$0.00 \$0.00 | May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 15A-135-INS Fischer, Aztrid Rubio | Revocation (Contested Case) | Aztrid Rubio Fischer 1094651 / | 1/20/2016 | \$0.00 \$0.00 | May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 15A-157-INS Quiggle, Jeannie Belle | Revocation (Contested Case) | Jeannie Belle Quiggle 1103125 / | 1/21/2016 | \$0.00 \$0.00 | May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 15A-163-INS Ventrelli, Michael Thomas | Revocation (Contested Case) | Michael Thomas Ventrelli 1085442 / | 1/21/2016 | \$0.00 \$0.00 | May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 15A-166-INS Zambrano, Manuel Moises | Revocation (Contested Case) | Manuel Moises Zambrano 1089261 / | 1/21/2016 | \$0.00 \$0.00 | May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 16A-006-INS Avesis Insurance Incorporated (NAIC 11163) by First Commonwealth, Inc. | Acquisition | First Commonwealth, Inc. / | 1/25/2016 | \$0.00 \$0.00 | Acquisition is approved. All materials are confidential. advise of effective date of change in control. Upon acquisition, file registration statement. Failure to comply results in admin action. |
| | No | FAD | | | |

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| | <i>Consent</i> | <i>Source</i> | <i>SS or CD</i> | <i>Restitution</i> | |
| 16A-005-INS Arcadian Health Plan, Inc. (NAIC 12151) & Humana Health Plan, Inc. (NAIC 95885) by Aetna Inc. | Acquisition | Aetna, Inc. / | 1/25/2016 | \$0.00 \$0.00 | Acquisition is approved subject to conditions. All materials are confidential. Advise of effective date of change of control. Failure to comply results in admin action. |
| | No | FAD | | | |
| 16A-007-INS Coast Life Insurance Company | Withdrawal | Coast Life Insurance Company / 73296 | 1/25/2016 | \$0.00 \$0.00 | Request to terminate CofA is approved. May file Art of Incorporation (Art) w/ ACC. Release stat deposit if cond. Met. Pay CofA renewal unless Art filed by 8/1/16. File 2016 Ann Stmt unless Art filed by 12/31/16. Refund \$100 IERF deposit. |
| | No | FAD | | | |
| 16A-010-INS Bursell, Timothy Lee | Consent Order | Timothy Lee Bursell 245856 / | 1/28/2016 | \$500.00 \$0.00 | Civil penalty of \$500. |
| | Yes | Investigations | | | |
| 15A-148-INS Maloy, April Jean | Revocation (Contested Case) | April Jean Maloy 1104009 / | 1/29/2016 | \$0.00 \$0.00 | May surrender license. Hearing is vacated. |
| | Yes | Licensing | | | |
| 16A-009-INS Maidstone Insurance Company (NAIC 34479) | Surrender of Certificate of Authority | Maidstone Insurance Company / 34479 | 1/29/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Refund \$100 IERF deposit. |
| | No | FAD | | | |
| 15A-155-INS Fuller, Kim A | Revocation (Contested Case) | Kim A. Fuller 1083741 / | 1/29/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |

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| 15A-073-INS Flores, Christina M. | Revocation (Contested Case) | | Christina M. Flores 1082468 | / | 1/29/2016 | \$0.00 \$0.00 | License revoked, effectively immediately. |
| | No | Licensing | | | | | |
| 15A-132-INS Cruz, John Paul | Revocation (Contested Case) | | John Paul Cruz 1087550 | / | 2/1/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 15A-156-INS Peterson, Janet Lynn | Revocation (Contested Case) | | Janet Lynn Peterson 1103629 | / | 2/1/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 15A-165-INS Young, Carleton Shihao | Revocation (Contested Case) | | Carleton Shihao Young 1105871 | / | 2/16/2016 | \$100.00 \$0.00 | Immediately submit fingerprints and form. Civil penalty of \$100. |
| | Yes | Licensing | | | | | |
| 15A-147-INS Maijala, James Isaac | Revocation (Contested Case) | | James Isaac Maijala 1096930 | / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 15A-146-INS Kovach, Michelle | Revocation (Contested Case) | | Michelle Kovach 1094894 | / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |

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Wednesday, May 25, 2016

Page 4 of 14

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| 15A-149-INS McDougle, Aimee Renee | Revocation (Contested Case) | Aimee Renee McDougle 1084918 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-143-INS Gardner (nee Humphries), Jessica Lynn | Revocation (Contested Case) | Jessica Lynn Gardner (nee Humphries) 1095792 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-145-INS Hoffman, Holden Noah | Revocation (Contested Case) | Holden Noah Hoffman 1108100 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 16A-012-INS Appleford, Patrick Alan | Summary Suspension (Appealable Agency Action) | Patrick Alan Appleford 1043507 / | 2/17/2016 | \$0.00 \$0.00 | License summarily suspended, effective immediately |
| | No | Licensing | | | |
| 15A-144-INS Hunt, Anthony Scott (II) | Revocation (Contested Case) | Anthony Scott Hunt, II 1085995 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-128-INS Armenta, Kandace Kay | Revocation (Contested Case) | Kandace Kay Armenta 1097278 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |

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Wednesday, May 25, 2016

Page 5 of 14

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| 15A-133-INS Dankers, Tracy Marie | Revocation (Contested Case) | Tracy Marie Dankers 1072605 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | Yes | Licensing | | | |
| 15A-136-INS Garmon, Tammy Ping | Revocation (Contested Case) | Tammy Ping Garmon 1083636 / | 2/17/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-158-INS Reece, Elizabeth | Revocation (Contested Case) | Elizabeth Reece 1084679 / | 2/18/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-162-INS Taylor, Cary Thomas | Revocation (Contested Case) | Cary Thomas Taylor 1081819 / | 2/18/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-164-INS Wheaton, Kylie | Revocation (Contested Case) | Kylie Wheaton 1087678 / | 2/18/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-151-INS O'Connor, Aleasha Marie | Revocation (Contested Case) | Aleasha Marie O'Connor 1059999 / | 2/18/2016 | \$0.00 \$0.00 | May surrender license. |
| | Yes | Licensing | | | |

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Wednesday, May 25, 2016

Page 6 of 14

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| 16A-014-INS Ramirez, Raquel Marie | Summary Suspension (Appealable Agency Action) | Raquel Marie Ramirez 1082392 / | 2/18/2016 | \$0.00 \$0.00 | License summarily suspended, effective immediately |
| | No | Licensing | | | |
| 15A-160-INS Schafer, Michelle R. | Revocation (Contested Case) | Michelle R. Schafer 1080457 / | 2/19/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 15A-159-INS Richardson, Autumn Paige | Revocation (Contested Case) | Autumn Paige Richardson 1096029 / | 2/19/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 16A-011-INS Robles, Reginaldo Sonoqui | Revocation (Contested Case) | Reginaldo Sonoqui Robles 1079010 / | 2/23/2016 | \$500.00 \$0.00 | Civil penalty of \$500. |
| | Yes | Licensing | | | |
| 15A-134-INS Fillmore, Tonda Marie | Revocation (Contested Case) | Tonda Marie Fillmore 1101164 / | 2/23/2016 | \$100.00 \$0.00 | Submit fingerprints and Illegible Replacement Form Civil penalty of \$100. |
| | Yes | Licensing | | | |
| 16A-037-INS Pxre Reinsurance Company (NAIC 29807) | Surrender of Certificate of Authority | Pxre Reinsurance Company / 29807 | 2/23/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Refund \$100 IERF deposit. |
| | No | FAD | | | |

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Wednesday, May 25, 2016

Page 7 of 14

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| 16A-039-INS Deerfield Insurance Company (NAIC 37184) | Surrender of Certificate of Authority | Deerfield Insurance Company / 37184 | 2/23/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Refund \$100 IERF deposit. |
| | No | FAD | | | |
| 16A-036-INS Charter National Life Insurance Company (NAIC 61808) | Surrender of Certificate of Authority | Charter National Life Insurance Company / 61808 | 2/24/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. File 2015 Ann Stmt and Tax & Fees Rpt w/ fees. Refund \$100 IERF deposit. |
| | No | FAD | | | |
| 16A-035-INS Futural Life Insurance Company (NAIC 78549) | Withdrawal | Futural Life Insurance Company / 78549 | 2/24/2016 | \$0.00 \$0.00 | Request to terminate CofA is granted. May file Amendment to Art of Incorpor (Art) w/ ACC. Release statutory deposit if conditions met. Pay CofA renewal unless Art filed by 3/31/16. File Ann Stmt unless Art filed by 12/31/16. Refund \$100 IERF deposit. |
| | No | FAD | | | |
| 16A-042-INS Amen-Ra, King-Heru Lathan & I Will Global, Inc. | Consent Order | King-Heru Lathan Amen-Ra 981149 / I Will Global, LLC 1107560 / | 3/1/2016 | \$1,250.00 \$0.00 | Civil penalty of \$1250. Update all addresses. |
| | Yes | Investigations | | | |
| 16A-041-INS Dietrich, Dominique N. | Consent Order | Dominique N. Dietrich 1000515 / | 3/1/2016 | \$3,250.00 \$0.00 | Civil penalty of \$3,250. Cease and desist making any misrepresentation. |
| | Yes | Investigations | | | |

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Wednesday, May 25, 2016

Page 8 of 14

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| 16A-040-INS Residential Warranty Services, Inc. | Cease and Desist (Appealable Agency Action) | Residential Warranty Services / Unlicensed | 3/1/2016 | \$0.00 \$0.00 | Immed. cease & desist selling service contracts. Contact Dept with contact information. In 45 days provide list of all AZ contract holders Immed contact Dept with list of claims. Continue to service active contracts. Pay all valid claims. Order is effective immediately. |
| | No | Investigations | | | |
| 15A-167-INS Escamilla, Charlotte Mary | License Denial (Appealable Agency Action) | Charlotte Mary Escamilla Unlicensed / | 3/17/2016 | \$0.00 \$0.00 | Producer license application granted. |
| | No | Licensing | | | |
| 16A-043-INS Assurance Company of America (NAIC No. 19305) | Surrender of Certificate of Authority | Assurance Company of America / 19305 | 3/17/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release WC deposit to Petitioner. Refund \$100 IERF deposit. |
| | No | FAD | | | |
| 15A-072-INS DSI Group, LLC | Revocation (Contested Case) | DSI Group, LLC 866833 / | 3/17/2016 | \$0.00 \$0.00 | License revoked effective immediately. |
| | No | Licensing | | | |

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Wednesday, May 25, 2016

Page 9 of 14

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| 16A-046-INS Superior Vision Ins. Inc. (NAIC 60188) by Wink Holdco, Inc. et al | Acquisition | Wink Holdco, Inc. / Wink Parent, Inc. / Centerbridge Capital Partners III, L.P. / Centerbridge Capital Partners SBS III, L.P. / CCP III Cayman GP, Ltd. / | 3/17/2016 | \$0.00 \$0.00 | Acquisition is approved. All documents are confidential. Advise of effective date of change of control. Upon acquisition, file registration stmt. Failure to comply results in further admin action. |
| 16A-044-INS Northern Insurance Company of New York (NAIC 19372) | Surrender of Certificate of Authority | Northern Insurance Company of New York / 19372 | 3/17/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release WC deposit to Petitioner. Refund \$100 IERF deposit. |
| 16A-045-INS Maryland Casualty Company (NAIC 19356) | Surrender of Certificate of Authority | Maryland Casualty Company / 19356 | 3/17/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release WC deposit to Petitioner. Refund \$100 IERF deposit. |
| 16A-003-INS Harper, Jason John | License Denial (Appealable Agency Action) | Jason John Harper Unlicensed / | 3/17/2016 | \$0.00 \$0.00 | Producer license application denied. |
| 15A-194-INS Lopez Maldonado, Arely Betzabe | License Denial (Appealable Agency Action) | Arely Betzabe Lopez Maldonado Unlicensed / | 3/17/2016 | \$0.00 \$0.00 | Producer license application granted. |

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| 16A-048-INS Colorado Bankers Life Insurance Company (NC) (Naic 84786) | Retaliatory Deposit Order | | Colorado Bankers Life Insurance Company (N | / 84786 | 3/29/2016 | \$0.00 \$0.00 | Make and maintain deposit w/in 30 days. |
| | No | FAD | | | | | |
| 15A-184-INS Conner, John | Revocation (Contested Case) | | John Conner | / | 3/29/2016 | \$0.00 \$0.00 | License revoked effective immediately. Hearing is vacated. |
| | No | Licensing | | | | | |
| 16A-050-INS Republic-Vanguard Insurance Company (NAIC 40479) by Amtrust Financial Services, Inc. | Acquisition | | Amtrust Financial Services, Inc. | / | 4/11/2016 | \$0.00 \$0.00 | Acquisition of control is approved. All documents are confidential. Advise of effective date of change of control. Upon acquisition, file registration statement. Failure to comply results in further admit action. |
| | No | FAD | | | | | |
| 16A-038-INS Wahl Financial, Inc. and Wahl, James D. | Revocation (Contested Case) | | Wahl Financial, Inc. | / | 4/11/2016 | \$500.00 \$0.00 | Civil money penalty of \$500. |
| | No | Licensing | James D. Wahl | / | | | |
| 16A-062-INS Heritage Union Life Insurance Company (NAIC 62421) | Surrender of Certificate of Authority | | Heritage Union Life Insurance Company | / 62421 | 4/19/2016 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release statutory deposit. Refund \$100 credited to IERF. |
| | No | FAD | | | | | |
| 16A-065-INS Commonwealth Casualty Company (NAIC 13930) by Fundamental Holdings Corporation | Acquisition | | Fundamental Holdings Corporation | / | 4/26/2016 | \$0.00 \$0.00 | Acquisition approved subject to condition. All documents are confidential. Advise of effective date of change of control. Upon acquisition, file registration statement. Failure to comply will result in admin action. |
| | No | FAD | | | | | |

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| 16A-013-INS Ogden, Raymond Neal | Summary Suspension (Appealable Agency Action) | Raymond Neal Ogden 896621 / | 5/2/2016 2/17/2016 | \$0.00 \$0.00 | License reinstated. |
| | No | Licensing | | | |
| 15A-153-INS Figueroa, Gabriela Del Carmen | Revocation (Contested Case) | Gabriela Del Carmen Figueroa 1089405 / | 5/2/2016 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | |
| 16A-068-INS Chubb National Insurance Company (IN) (NAIC 10052) | Release of Deposit | Chubb National Insurance Company / 10052 | 5/6/2016 | \$0.00 \$0.00 | Release trust deposit to Petitioner. |
| | No | FAD | | | |
| 16A-067-INS Executive Risk Indemnity, Inc. (DE) (NAIC 35181) | Release of Deposit | Executive Risk Indemnity, Inc. / 35181 | 5/6/2016 | \$0.00 \$0.00 | Realease trust deposit to Petitioner. |
| | No | FAD | | | |
| 16A-069-INS Direct Buy Associates, Inc. dba Direct Buy Auto Warranty | Cease and Desist (Appealable Agency Action) | Direct Buy Associates, Inc. / Unregistered | 5/11/2016 | \$0.00 \$0.00 | Immediately C&D offering vehicle service contracts Provide Dept w/ contact information. Within 45 days provide list of AZ customers. Immediately provide list of outstanding claims. Service all current vehicle service contracts. Pay all valid claims. Order is effective immediately. |
| | No | Investigations | | | |

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Wednesday, May 25, 2016

Page 12 of 14

| <i>Docket Number</i> | <i>Type of Action</i> | <i>Party Name</i> | <i>Dates:</i> | <i>Fines:</i> | |
|---|---|--|---------------------------------|--|--|
| <i>Matter Name</i> | <i>Settled by</i> <i>Consent</i> | <i>Source</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | <i>Ordered*</i> |
| 16A-072-INS Gutierrez, Jessica Maria | Consent Order | Jessica Maria Gutierrez 1017513 / | 5/17/2016 | \$500.00 \$0.00 | Civil penalty of \$500. |
| | Yes | Licensing | | | |
| 16A-015-INS Educators Health Plans Life, Accident & Health, Inc. dba EMI Health (NAIC 12515) | Consent Order | Educators Health Plans Life, Accident & Healt / 12515 | 5/18/2016 | \$5,000.00 \$0.00 | Cont to administer coverage for issued policies. Cont. to market & issue approved forms. Deliver cover ltr & correct forms to policyholders Report delivery of correct forms. Notify all contracted providers. Report delivery to providers. Perform audit of claims. Absorb any cost difference. Civil penalty of \$5,000 due in 30 days. Order effective immediately. |
| | Yes | Rate and Form | | | |
| 16A-016-INS Tacker, George Alfred | License Denial (Appealable Agency Action) | George Alfred Tacker Unlicensed / | 5/24/2016 | \$0.00 \$0.00 | Producer license application denied. |
| | No | Licensing | | | |
| 15A-141-INS Guess, Jimmica Ranetta and Jimmica Guess & Associates, LLC | Revocation (Contested Case) | Jimmica Ranetta Guess 1074554 / Jimmica Guess & Associates, LLC 1077812 / | 5/24/2016 | \$0.00 \$0.00 | Jimmica Ranetta Guess license revoked. Jimmica Guess & Assoc license revoked. Hearing is vacated. |
| | No | Investigations | | | |
| 16A-077-INS Hunter, Chad M | Consent Order | Chad M Hunter 1136387 / | 5/25/2016 | \$250.00 \$0.00 | Civil penalty of \$250. |
| | Yes | Licensing | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

*For complete details on an Order - please request a paper copy from the Department of Insurance

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> | <i>Type of Action</i> | <i>Party Name</i> | <i>Dates:</i> | | <i>Fines:</i> | |
|---------------------------------------|-------------------------------------|-------------------|------------------|-----------------|---------------------------------|---|
| <i>Matter Name</i> | <i>Settled by</i> <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution Ordered*</i> |
| <i>Total Civil Penalty for Period</i> | | | | | | \$12,700.00 |
| <i>Total Restitution for Period</i> | | | | | | \$0.00 |

Report Key: SS or CD: Summary Suspension or Cease and Desist

**For complete details on an Order - please request a paper copy from the Department of Insurance*

Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division